

10/667,740

Confirmation No. 1890

Bailey, et al.

Filed

September 22, 2003

TC/A.U.

2121

Examiner

Unassigned

Docket No.

BOC9-2003-0028 (397)

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find enclosed the following for filing:

Information Disclosure Statement, Form PTO/SB/08A & B with references;

X Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951; and

Other: (1) Postcard. \mathbf{X}

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: _2/10/04

Gregory A. Nelson, Reg. No. 30,577 Kevin T. Cuenot, Reg. No. 46,283 Brian K. Buchheit, Reg. No. 52,667

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{WP167818;1}

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

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T. Cuerob Reg. No. 46,283

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

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In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Forms PTO/SB/08A & B and which the Examiner may deem relevant to patentability of the claims of the above-identified application. Further, pursuant to the partial waiver of the submission requirement under 37 C.F.R. 1.98(a)(2)(i), Applicants are not submitting copies of U.S. patent references with this paper.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not {WP167818;1}

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

2/10/04

Reg. No. 46,283

Information Disclosure Statement Application No. 10/667,740 Docket No. BOC9-2003-0028 (397)

waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Forms PTO/SB/08A & B be returned in accordance with MPEP §609.

Respectfully submitted,

Date: 2/10/04

Gregory A. Nelson, Reg. No. 30,577 Kevin T. Cuenot, Reg. No. 46,283 Brian K. Buchheit, Reg. No. 52,667 AKERMAN SENTERFITT

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{WP167818;1}

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/667,740			
Filing Date	September 22, 2003			
First Named Inventor	Bailey, et al.			
Art Unit	2121			
Examiner Name	Unassigned			
Attorney Docket Number	BOC9-2003-0028 (397)			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 2002/0056476	12-27-2001	Benayoun, et al.	
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		WO 02/03201	01-10-2002	Intel Corp.		~
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known ubstitute for form 1449/PTO **Application Number** 10/667,740 INFORMATION DISCLOSURE Filing Date September 22, 2003 STATEMENT BY APPLICANT **First Named Inventor** Bailey, et al. Art Unit 2121 (Use as many sheets as necessary) **Examiner Name** Unassigned Attorney Docket Number BOC9-2003-0028 (397) Sheet 2 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HIROSAWA, T., et al., "Dev. of a Sys. Oper. Vicarious Execution Function of Mainframe Comp. Systems by the Pers. Comp. Control", Info. Proc. Soc. of JP, Vol.41, #9, Sep. 2000.	~
		"ISPF for z/OS - Features and Benefits - IBM Software", IBM Corp., viewed 09/08/2003.	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.